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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of: YAMAMOTO, et al.

Group Art Unit: 2876

Serial No.: 09/376,308

Examiner: Karl D. Frech

Filed: August 18, 1999

For: INTEGRATED CIRCUIT FOR PROTOCOL CONTROL

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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OCT 27 2003  
TECHNOLOGY CENTER 2800  
October 22, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.


This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance. A check is attached hereto which covers the \$ 180.00 fee set forth in 37 CFR 1.17(p).

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this statement to our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
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William L. Brooks  
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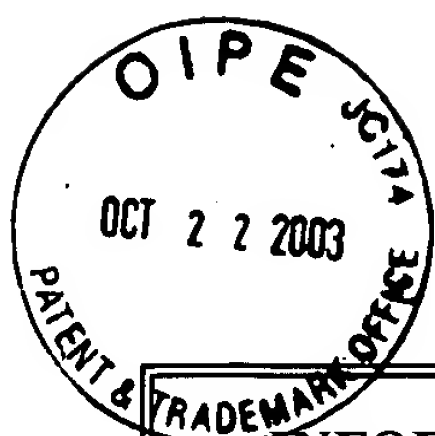
WLB/smc  
Atty. Docket No. 990934  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosures: PTO-1449 and references (10)  
Check for \$ 180.00



<b>INFORMATION DISCLOSURE CITATION PTO-1449</b>	Atty. Docket No. 990934	Serial No. 09/376,308
	Applicant(s): YAMAMOTO, et al.	
	Filing Date: August 18, 1999	Group Art Unit: 2876

### U.S. PATENT DOCUMENTS

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (if appropriate)
_____	AA	5,361,364	Nagashige, et al.	11/1/94			
_____	AB	5,608,894	Kawakami, et al.	3/4/97			
_____	AC						

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### FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Translation (Yes or No)
_____	AD	10-247187	9/14/98	Japan	Yes
_____	AE	5-11898	1/22/93	Japan	No
_____	AF	9-128464	5/16/97	Japan	Yes
_____	AG	7-262025	10/13/95	Japan	No

### OTHER DOCUMENTS

_____	AH	“Notification of Reason(s) For Refusal” dated July 7, 2003, English translation (5 pgs. 1-5).
_____	AI	“Control LSI For Electronic Money System”, Proceedings of the 1997 Electronics Society Conference of IEICE, Vol. 1997, Yutaka TAKAMI, et al., September 3-6, 1997, Waseda University, Tokyo, pg. 106, English translation (3 pgs. 1-3).
_____	AJ	“Digital Cash Technology, Chapter 17: Transaction Card From Citibank and Chapter 18, Smart Card” Peter Wayner, Softbank Books, September 20, 1997, pgs. 171-184, English Translation (27 pgs. 1-27).
_____	AK	“All About Digital Money”, Chapter 6, IC Card, Nikkei Business Publications, Inc., NIKKEI DIGITAL MONEY SYSTEM, INDEPENDENT VOLUME, 1997, pgs. 241-265, English Translation (33 pgs. 1-33),
Examiner		
Date Considered		